



James Ellis
Head of Legal and Democratic
Services

MEETING : AUDIT AND GOVERNANCE COMMITTEE
VENUE : COUNCIL CHAMBER, WALLFIELDS, HERTFORD
DATE : TUESDAY 26 SEPTEMBER 2023
TIME : 7.00 PM

PLEASE NOTE TIME AND VENUE

This meeting will be live streamed on the Council's Youtube page:
<https://www.youtube.com/user/EastHertsDistrict>

MEMBERS OF THE COMMITTEE

Councillor Martin Adams (Chairman)
Councillors B Deering, C Hart, D Jacobs, S Nicholls, G Williamson and
D Woollcombe (Vice-Chairman)

*(Note: Substitution arrangements must be notified by the absent Member
to Democratic Services 24 hours before the meeting)*

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Disclosable Pecuniary Interests

A Member, present at a meeting of the Authority, or any committee, sub-committee, joint committee or joint sub-committee of the Authority, with a Disclosable Pecuniary Interest (DPI) in any matter to be considered or being considered at a meeting:

- must not participate in any discussion of the matter at the meeting;
- must not participate in any vote taken on the matter at the meeting;
- must disclose the interest to the meeting, whether registered or not, subject to the provisions of section 32 of the Localism Act 2011;
- if the interest is not registered and is not the subject of a pending notification, must notify the Monitoring Officer of the interest within 28 days;
- must leave the room while any discussion or voting takes place.

Public Attendance

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Visit <https://www.eastherts.gov.uk/article/35542/Political-Structure> for details.

Audio/Visual Recording of meetings

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AGENDA

1. Apologies

To receive any apologies for absence

2. Minutes - 31 May 2023 (Pages 6 - 22)

To approve as a correct record the minutes of the meeting held on 31 May 2023.

3. Chairman's Announcements

4. Declarations of Interest

To receive any declarations of interest.

5. Co-option of Independent Members

To formally co-opt Independent Members Mark Poppy and Nick Sharman onto the Audit and Governance Committee.

6. Training - The Role of Internal Audit

7. Shared Internal Audit Service - Progress Report (Pages 23 - 34)

8. Shared Anti-Fraud Service (SAFS) - Anti-Fraud Report 2022/23 (Pages 35 - 63)

9. Shared Anti-Fraud Service (SAFS) - Anti-Fraud Progress Report 2023/24 (Pages 64 - 76)

10. Data Protection Update (Pages 77 - 82)

11. Assets of Community Value (Pages 83 - 93)

12. Provisional General Fund Revenue & Capital Outturn 2022/23 (Pages 94 - 103)
13. Budget monitoring forecast outturn to year end 2023/24 (Pages 104 - 115)
14. Monitoring of 2023/24 quarter one corporate risk register (Pages 116 - 144)
15. Draft Annual Governance Statement (Pages 145 - 184)
16. Audit and Governance Work Programme (Pages 185 - 200)
17. Urgent Items

To consider such other business as, in the opinion of the Chairman of the meeting, is of sufficient urgency to warrant consideration and is not likely to involve the disclosure of exempt information.